Employee Post-Travel Disclosure of Travel Expenses

Date/Time Stamp:

Post-Travel Filing Instructions: Complete this form within 30 days of returning from

avel. Submit all forms	s to the Office of Pub	lic Records in 232 Har	t Building.	
compliance with Rule reimbursed/paid for	le 35.2(a) and (c), I mand me. I also certify that	ake the following disclo I have attached:	sures with respect to	travel expenses that have been or v
The <u>original</u> Employ A copy of the Prival	te Sponsor Travel Cer	rization (Form RE-1), <u>I</u> tification Form with all e, Aspen Ideas Fest	attachments (itinerar	y, invitee list, etc.)
ivate Sponsor(s) (list	all):			
June 2 avel date(s):	2-25, 2017			
		. N/A		
me of accompanying	g family member (if a	ny):		
lationship to Travelo		Child		
THE COST OF LODG	GING DID NOT INCR	EASE DUE TO THE ACC	COMPANYING SPOU	SE OR DEPENDENT CHILD, ONLY
CLUDE LODGING Copenses for Employe		EXPENSES. (Attach add	monai pages ii necessai	y- <i>y</i>
penses for Employ	Transportation Expenses	Lodging Expenses	Meal Expenses	Other Expenses (Amount & Description)
Good Faith Estimate Actual Amount	\$950	\$999	Breakfast and Lunch included in Conference; dinner \$128	\$2000 Conference registration pass (includes \$190 per day meeting package which includes breakfast, lunch, snacks, and reception)
vnenses for Accomi	nanving Spouse or De	ependent Child (if appli	icable):	
tpenses for recom-p	Transportation Expenses	Lodging Expenses	Meal Expenses	Other Expenses (Amount & Description)
☐ Good Faith Estimate				
☐ Actual Amount				
	<u> </u>	<u></u>		<u> </u>
Provide a description necessary.): I attended Aspen Ins	l conference workshops	vents attended. See Sen and seminars, and attended	ate Rule 35.2(c)(6). (ed two dinners as a gue	Attach additional pages if est of the Commonwealth Fund and
]// .k .l/	المالك	
(Elizabeth (Printed		' <u>\$\$</u> (NO	(Signature of traveler)
TO BE COMPLETE	D BY SUPERVISING	G MEMBER/OFFICER	:	
have made a determ	ination that the expen	ses set out above in con	nections with travel d	lescribed in the <i>Employee Pre-Tra</i>

Authorization form, are necessary transportation, lodging, and related expenses as defined in Rule 35.

(Pevised 1/3/11)

Form RE-1

TRes sed 10/19/15)

EMPLOYEE PRE-TRAVEL AUTHORIZATION

<u>Pre-Travel Filing Instructions</u>: Complete and submit this form at least 30 days prior to the travel departure date to the Select Committee on Ethics in SH-220. Incomplete and late travel submissions will <u>not</u> be considered or approved. This form <u>must</u> be typed and is available as a fillable PDF on the Committee's website at ethics.senate.gov. Retain a copy of your entire pre-travel submission for your required post-travel disclosure.

required post-travel disclosure.	Climabath Miktor
Name of Traveler:	Elizabeth Wikler
	U.S. Senator Al Franken
Employing Office/Committee: Aspen Institute.	Aspen Ideas Festival, Commonwealth Fund
Private Sponsor(s) (list all):	Aspen Ideas Festival, Commonwealth Fund
June 22-25, 2017 Travel date(s):	
Note: If you plan to extend the trip for	any reason you must notify the Committee.
Aspen, Colorado Destination(s):	
	to the traveler's official or representational duties:
Commonwealth Fund to attend Spot	y Advisor, and in this capacity, I have been nominated by the light Health, which is a subsjection of the Aspen Ideas Festival ssues covered during the conference will inform my work for
Name of accompanying family member (if any Relationship to Employee: Spouse (Contained in this	y):
0-12-2/17	EU-
(Date)	(Signature of Employee)
TO BE COMPLETED BY SUPERVISING SENA Secretary for the Majority, Secretary for the Mino Senator Al Franken	Lizabeth Witter
1,(Print Senator's/Officer's Name)	hereby authorize
an employee under my direct supervision, to related expenses for travel to the event descriduties as a Senate employee or an officeholde private gain.	accept payment or reimbursement for necessary transportation, lodging, and bed above. I have determined that this travel is in connection with his or here, and will not create the appearance that he or she is using public office for
I have also determined that the attendance of of the Senate. (signify "yes" by checking box)	the employee's spouse or child is appropriate to assist in the representation
U5/ 45/17	(Signature of Supervising Senator/Officer)

Form RE-1

0000000000000

(Revised 10/19/15)

EMPLOYEE PRE-TRAVEL AUTHORIZATION

<u>Pre-Travel Filing Instructions</u>: Complete and submit this form at least 30 days prior to the travel departure date to the Select Committee on Ethics in SH-220. Incomplete and late travel submissions will <u>not</u> be considered or approved. This form <u>must</u> be typed and is available as a fillable PDF on the Committee's website at ethics.senate.gov. Retain a copy of your entire pre-travel submission for your required post-travel disclosure.

1	Elizabeth Wikler
Name of Traveler:	U.S. Senator Al Franken
Employing Office/Committee:	
Private Sponsor(s) (list all):	nstitute, Aspen Ideas Festival, Commonwealth Fund
July 22, 2017-July	25, 2017
Note: If you plan to extend th	e trip for any reason you <u>must</u> notify the Committee.
Aspen, Colorado Destination(s):	<u> </u>
Explain how this trip is specifically c	onnected to the traveler's official or representational duties:
includes an entire section of v	e Commonwealth Fund to attend the Aspen Ideas Festival, which workshops on heath care, entitled Spotlight Health. I would join a who work in the filed translating ideas into action.
Name of accompanying family members Relationship to Employee: Spous	oer (if any): NA e
I certify that the information contains	in this form is true, complete and correct to the cost of my factorized.
85/23/17	<u> </u>
(Date)	(Signature of Employee)
TO BE COMPLETED BY SUPERVISING Secretary for the Majority, Secretary for	NG SENATOR/OFFICER (President of the Senate, Secretary of the Senate, Sergeant at Arms, the Minority, and Chaplain):
1, Senator Al Fran	Ken hereby authorize Elizabeth Witler
(Print Senator's/Officer's No	
related expenses for travel to the ever	ision, to accept payment or reimbursement for necessary transportation, lodging, and nt described above. I have determined that this travel is in connection with his or her ficeholder, and will not create the appearance that he or she is using public office for
I have also determined that the attend of the Senate. (signify "yes" by checking	dance of the employee's spouse or child is appropriate to assist in the representation
5/23/2017 (Date)	Signature of Supervising Senator/Officer)



Dear Beth:

I am writing on behalf of the Aspen Institute and the Aspen Ideas Festival, Spotlight Health, the flagship event of the Institute which takes place each summer in Aspen, Colorado. Spotlight Health will run from June 22-June 25, 2017. Each year we offer scholarships to remarkable leaders across the country and beyond. As you may know, you have been nominated by The Commonwealth Fund to be a scholar at Spotlight Health.

I am thrilled to let you know we have made our selections from a very competitive list of nominations, and we would like to offer you a scholarship to Spotlight Health (June 23-June 25) of the 2017 Aspen Ideas Festival, which includes a pass to the Festival. If you decide to accept our offer, you will be required to register through our online system this week. During the registration process please enter the code 2017SHunderwriter. You can still register as a Scholar even though the registration site may say that the Aspen Ideas Festival is sold out but you must register through the link on this email.

Please reach out to Franmarie Kennedy (cc'ed here) for next steps regarding your lodging and travel accommodations.

Please visit the <u>Spotlight Health website</u> where you can find more information on the Festival, program tracks, and a speaker list that will be continuously updated as we get close to the Festival (<u>do not register</u>).

You are free to attend any of the sessions offered during the Festival, and you set your own schedule! As we get closer to the event start date, my colleagues will be in touch with ways to connect with other scholars prior to your arrival in Aspen, as well as with further logistical information. If you are able to accept this scholarship with the terms outlined in this letter, please complete the <u>registration</u> <u>link</u> by May 22, 2017.

If you choose to decline, please let me know via e-mail as soon as possible.

For questions, please contact me at (202) 736-1498 or Franmarie.kennedy@aspeninstitute.org. I am happy to provide more information about the Aspen Ideas Festival, the Aspen Institute, or Aspen in general. I look forward to hearing from you and meeting you this summer!

I join Walter Isaacson, Elliot Gerson, and Kitty Boone (Festival Founder) in extending to you a warm welcome. We sincerely hope you will join us.

Best,

Franmarie Kennedy
Deputy Director
Aspen Health, Medicine and Society Program
The Aspen Institute
202-736-1498



DAILY SCHEDULE

JUNE 22 - 25, 2017

THURSDAY, JUNE 22

Mid-day	TRD.	Arrival	in Asi	nen -	Colorado
MUCI-CIAV	1017	MILIVAL	വ നാ	Dell.	

10:00 ÁM – 5:00 PM Registration for Spotlight Health

2:30 - 4:30 PM Spotlight Health Preview Sessions

5:00 - 7:00 PM Opening and Welcome

Opening Reception

(immediately following)

7:30 – 10:00 PM Evening Sessions

FRIDAY, JUNE 23

7:45 - 8:50 AM	Breakfast Sessions
9:00 - 10:00 AM	Plenary Sessions
10:20 - 11:20 AM	Breakout Sessions
12:00 - 1:00 PM	Lunch Sessions
1:15 - 2:45 PM	Deep Dives
3:00 - 4:00 PM	Breakout Sessions
4:15 - 5:15 PM	Plenary Sessions
5:30 - 6:30 PM	Speaker Salons
6:30 - 7:30 PM	Spotlight Health Scholar Reception
7:30 - 10:00 PM	Evening Sessions

PLEASE NOTE: Breakfast Sessions, Lunch Sessions, and Evening Sessions take place on our campus as well as at venues throughout the city of Aspen. See attached for complete descriptions of sessions.

SATURDAY, JUNE 24

7:45 - 8:50 AM	Breakfast Sessions
9:00 - 10:00 AM	Plenary Sessions
10:20 - 11:20 AM	Breakout Sessions
12:00 - 1:00 PM	Lunch Sessions
1:15 - 2:45 PM	Deep Dives
3:00 - 4:00 PM	Breakout Sessions
4:15 – 5:15 PM	Plenary Sessions
5:30 - 6:30 PM	Speaker Salons
7:00 – 9:00 PM	Commonwealth Fund Dinner Scholars and Speakers
7:30 - 10:00 PM	Evening Sessions

SUNDAY, JUNE 25

7:45 - 8:50 AM	Breakfast sessions
9:00 - 10:00 AM	Plenary Sessions
10:15 - 11:45 AM	Closing Session
Afternoon TBD: Departs	ure from Aspen, Colorado



2017 Tracks Descriptions

The Brain

Science is making rapid strides in its understanding of the structure and function of the brain.

Imaging technologies reveal how the brain develops and ages, why we need love, and what makes loneliness so damaging. The ability to map signaling pathways gives us a picture of addiction, explains why traumatic experiences linger, and shows how we benefit from exposure to nature. Knowledge of human behavior at the neural level also makes explicit the connection between mental and physical health, offers insights about resilience, and informs new approaches in the classroom. The science of the brain and the wonders of the mind give new meaning to the word "awesome."

Moonshots

We live in an age when improbable advances are actually occurring, and what once seemed impossible now appears achievable. Vice President Joe Biden's pursuit of a cancer cure is not the only moonshot out there. Wild and brave entrepreneurs and scientists are pushing boldly forward with innovations that can lift up everyone, wherever they live. With the right

commitments, we can create a planet without AIDS, eliminate maternal mortality, vanquish pandemics and provide health coverage for all. At this moment in time, we are also seeing artificial intelligence and precision medicine leapfrog forward, with visionaries lighting the way.

Intersections

Good health is one of the greatest gifts of a vigorous, fulfilling life, but clinical care alone cannot produce it. Thriving cities that encourage healthy behavior, investments in quality education and housing, access to good jobs, and global security are other key ingredients. Strengthening the convergence of human and planetary health and deepening our commitment to racial equity and social justice are also prominent in the recipe. Recognizing that a society cannot be healthy if some of its people are barred from realizing their potential, the mission and agenda of many fields are converging on health.

Caregiving

As a species, we do not walk alone. In the journey from birth to death, we depend on others to nurture our young, heal what ails us, and ease our way out of the world. We're at an inflection point in determining what care will look like, how it will be delivered, and by whom. But we already know that we'll need healers who value personal touch as much as they do science, caregivers who are adequately trained and compensated, and technology that is supportive, but not dehumanizing. How we tend to others at their most vulnerable moments speaks volumes about our humanity.

Viewpoints

Everyone, it seems, has a point of view. Bench scientists and clinicians, health providers and patient activists, policymakers and advocates, folks working at the local, national and international levels -- all with their own ideas about what it takes to build a healthier world. The push and pull of different disciplines and perspectives can drive creativity and generate innovative solutions, but only when all of the players are as willing to listen as they are to talk. That's not happening often enough these days, but it . is one of the great assets of Spotlight Health, where open-minded dialogue is the coin of the realm.

Med School

Laboratory discoveries, cutting-edge technology and clinical advances are converging to bring better medicine to more people on an unparalleled scale. We're conquering killer diseases, figuring out how people can live longer than at any other time in history, replacing deteriorating body parts with greater ease, learning how the brain really works, and bringing disciplines together to solve problems collectively. Find out what's new and what's hot in health and medicine at Med School sessions that are closely tied into Spotlight Health's other rich offerings.

PRIVATE SPONSOR TRAVEL CERTIFICATION FORM

This form must be completed by any private entity offering to provide travel or reimbursement for travel to Senate Members, officers, or employees (Senate Rule 35, clause 2). Each sponsor of a fact-finding trip must sign the completed form. The trip sponsor(s) must provide a copy of the completed form to each invited Senate traveler, who will then forward it to the Ethics Committee with any other required materials. The trip sponsor(s) should NOT submit the form directly to the Ethics Committee. Please consult the accompanying instructions for more detailed definitions and other key information.

The Senate Member, officer, or employee MUST also provide a copy of this form, along with the appropriate travel authorization and reimbursement form, to the Office of Public Records (OPR), Room 232 of the Hart Building, within thirty (30) days after the travel is completed.

Spoi	nsor(s) of the trip (please list all sponsors): The Aspen Institute; The Commonwealth Fund
Des	Attend Spotlight Health Conference of The Aspen Ideas Festival, the flagship
	ual conference event of The Aspen Institute
Date	es of travel:
	e of travel: Aspen, Colorado
Van	ne and title of Senate invitees: Pranay Udutha, Beth Wikler (See attachment for titles)
l cei	(A) The sponsor(s) are not registered lobbyists or agents of a foreign principal and do not retain or employ registered lobbyists or agents of a foreign principal and no lobbyist or agents of a foreign principal will accompany the Member, officer, or employee at any point throughout the trip. — OR —
	(B) The sponsor or sponsors are not registered lobbyists or agents of a foreign principal, but retain or employ one or more registered lobbyists or agents of a foreign principal and the trip meets the requirements of Senate Rule 35.2(a)(2)(A)(i) or (ii) (see question 9).
	I certify that the trip will not be financed in any part by a registered lobbyist or agent of a foreign principal. - AND-
	I certify that the sponsor or sponsors will not accept funds or in-kind contributions earmarked directly or indirectly for the purpose of financing this specific trip from a registered lobbyist or agent of a foreign principal or from a private entity that retains or employs one or more registered lobbyists or agents of a foreign principal.
\boxtimes	rify that: The trip will not in any part be planned, organized, requested, or arranged by a registered lobbyist or agent of a foreign principal except for de minimis lobbyist involvement. — AND —
\boxtimes	The traveler will not be accompanied on the trip by a registered lobbyist or agent of a foreign principal except as provided for by Committee regulations relating to lobbyist accompaniment (see question 9).

9.	USE ONLY IF YOU CHECKED QUESTION 6(B) I certify that if the sponsor or sponsors retain or employ one or more registered lobbyists or agents of a foreign principal, one of the following scenarios applies:
	 (A) The trip is for attendance or participation in a one-day event (exclusive of travel time and one overnight stay) and no registered lobbyists or agents of a foreign principal will accompany the Member, officer, or employee on any segment of the trip. OR -
	 □ (B) The trip is for attendance or participation in a one-day event (exclusive of travel time and two overnight stays) and no registered lobbyists or agents of a foreign principal will accompany the Member, officer, or employee on any segment of the trip (see questions 6 and 10). - OR -
	(C) The trip is being sponsored only by an organization or organizations designated under § 501(c)(3) of the Internal Revenue Code of 1986 and no registered lobbyists or agents of a foreign principal will accompany the Member, officer, or employee at any point throughout the trip.
10.	USE ONLY IF YOU CHECKED QUESTION 9(B) If the trip includes two overnight stays, please explain why the second night is practically required for Senate invitees to participate in the travel:
11.	An itinerary for the trip is attached to this form. I certify that the attached itinerary is a detailed (hour-by-hour), complete, and final itinerary for the trip.
12.	Briefly describe the role of each sponsor in organizing and conducting the trip:
	The Aspen Institute hosts the Aspen Ideas Festival and Spotlight Health conference annually. The Institute
	plans, organizes and conducts Spotlight Health, including the programming, production and speaker and
	scholar logistics. See continued response attached.
13.	Briefly describe the stated mission of each sponsor and how the purpose of the trip relates to that mission:
	The Aspen Institute is an educational and policy studies non-profit organization. Its mission is to foster
	leadership based on enduring values and provide a nonpartisan forum for the exchange of ideas and
	dealing with critical issues. See continued response attached.
14.	Briefly describe each sponsor's prior history of sponsoring congressional trips:
	The Aspen Institute has a nearly forty-year history of conducting non-partisan educational forums, which
	include congressional trips. For almost twenty years, the Commonwealth Fund has hosted and invited
	Members of Congress to the Bipartisan Congressional Health Policy Conference. See continued response

The Aspen Institute a	nd the Commonwealth	Fund hold numerous		- ariffually, including
educational briefings,	breakfasts and other o	onvenings to inform	and engage policyma	kers and their
staff. See continued i	response attached.	<u> </u>	<u> </u>	<u></u>
Total Expenses for Ea	ach Participant:			
	Transportation Expenses	Lodging Expenses	Meal Expenses	Other Expenses
Good Faith estimate Actual Amounts	\$950	\$999 (\$333 per night for three nights)	Breakfast and lunch included in conference registration; \$128 dinner	\$2000 conference registration pass (includes \$190 per day meeting package which includes breakfast, lunch, snacks, reception)
tote whether a) the t	rip involves an event the	at is arranged or org	anized without regard	to congressional
State whether a) the toparticipation or b) the congressional participation	e trip involves an event	that is arranged or o	rganized <i>specifically</i> i	to congressional
State whether a) the transfer participation or b) the congressional participation. The trip involves an expense of the trip involves and the trip involves	e trip involves an event pation: event arranged without the location of the ever	regard to congression	nal participation.	to congressional with regard to
State whether a) the transfer participation or b) the congressional participation. The trip involves an example of the Aspen Institute's the Aspen Institu	e trip involves an event pation: event arranged without the location of the even s campus and conferen	regard to congression	nal participation.	to congressional with regard to
State whether a) the transfer participation or b) the congressional participation. The trip involves an expense of the trip involves and the trip involve	e trip involves an event pation: event arranged without the location of the even s campus and conferen	regard to congression	nal participation.	to congressional with regard to
State whether a) the transfer participation or b) the congressional participation. The trip involves an expensional participation or by the Reason for selecting. The Aspen Institute's Conference and Aspensional participation.	e trip involves an event pation: event arranged without the location of the even s campus and conferen	regard to congression	nal participation.	to congressional with regard to
State whether a) the transfer participation or b) the congressional participation. The trip involves an expensional participation of the Aspen Institute's Conference and Aspen Name and location of the participation of the trip involves and th	e trip involves an event pation: event arranged without the location of the event amount conference and conference the locas Festival.	regard to congression to trip ce center in Aspen, (nal participation.	to congressional with regard to
State whether a) the transfer participation or b) the congressional participation. The trip involves an expensional participation of the Aspen Institute's Conference and Aspensional Page 2018. Name and location of Viceroy Snowmass,	e trip involves an event pation: event arranged without the location of the event campus and conferent en Ideas Festival. f hotel or other lodging 130 Wood Road, Snov	regard to congression of the trip ce center in Aspen, of the center in Aspen,	nal participation.	to congressional with regard to
State whether a) the triparticipation or b) the congressional participation. The trip involves and Reason for selecting. The Aspen Institute's Conference and Aspen Name and location of Viceroy Snowmass, Reason(s) for selections.	e trip involves an event pation: event arranged without the location of the ever campus and conferent en Ideas Festival. f hotel or other lodging	that is arranged or or regard to congression of trip ce center in Aspen, of facility: mass Village, Colorating facility:	nal participation. Colorado is home to S ado	to congressional with regard to

21.	Describe how the daily expenses for lodging, meals, and other expenses provided to trip participants compares to the maximum per diem rates for official Federal Government travel:				
	The lodging rate (\$333) exceeds the per diem rate for Pitkin County, Colorado (\$191). The conference				
	registration pass (\$2000) includes a daily meeting package of \$190 which includes breakfast, lunch,				
	snacks, and reception(s).				
22.	Describe the type and class of transportation being provided. Indicate whether coach, business-class or first class transportation will be provided. If first-class fare is being provided, please explain why first-class travel is necessary:				
	Coach airfare				
23.	I represent that the travel expenses that will be paid for or reimbursed to Senate invitees do not include expenditures for recreational activities, alcohol, or entertainment (other than entertainment provided to all attendees as an integral part of the event, as permissible under Senate Rule 35).				
24.	List any entertainment that will be provided to, paid for, or reimbursed to Senate invitees and explain why the entertainment is an integral part of the event: N/A				
25.	I hereby certify that the information contained herein is true, complete and correct. (You must include the completed signature block below for each travel sponsor.):				
	Signature of Travel Sponsor: With Gem				
	Name and Title: Elliot Gerson, Executive Vice President, Public & Policy Programs				
	Name of Organization: The Aspen Institute, Inc.				
	Address: One Dupont Circle, NW Suite 700 WDC 20036				
	Telephone Number: 202-736-5859 (Lisa Jones)				
	Fax Number: 202-467-0790				
	E-mail Address: lisa.jones@aspenInstitute.org				

21.	Describe how the daily expenses for lodging, meals, and other expenses provided to trip participants compares to the maximum per diem rates for official Federal Government travel:
	The lodging rate (\$333) exceeds the per diem rate for Pitkin County, Colorado (\$191). The conference
	registration pass (\$2000) includes a daily meeting package of \$190 which includes breakfast, lunch,
	snacks, and reception(s).
22.	Describe the type and class of transportation being provided. Indicate whether coach, business-class or first class transportation will be provided. If first-class fare is being provided, please explain why first-class travel is necessary:
	Coach airfare
23.	I represent that the travel expenses that will be paid for or reimbursed to Senate invitees do not include expenditures for recreational activities, alcohol, or entertainment (other than entertainment provided to all attendees as an integral part of the event, as permissible under Senate Rule 35).
24.	List any entertainment that will be provided to, paid for, or reimbursed to Senate invitees and explain why the entertainment is an integral part of the event:
	N/A
25.	I hereby certify that the information contained herein is true, complete and correct. (You must include the
	completed signature block below for each travel sponsor.): Signature of Travel Sponsor:
	Name and Title: Rachel Nuzum, Vice President, Federal and State Health Policy
	Name of Organization: The Commonwealth Fund
	Address:
	Telephone Number: 202-292-6722
	Fax Number;
	E-mail Address:



Private Sponsor Travel Certification Form Attachment The Aspen Institute, Inc.

- 5. Name and Title of Senate Invitees: Pranay Udutha, Policy Advisor, Senator Bill Cassidy; Beth Wikler, Health Policy Advisor, Senator Al Franken
- 12. The Commonwealth Fund is a non-partisan private foundation that supports independent research on health and social issues and makes grants to improve health care practice and policy. The Commonwealth Fund is a sponsor of Spotlight Health and of related policy scholarships to help bring a diverse group of health policy experts to the Spotlight Health conference. The Commonwealth Fund has contributed its expertise on health issues to the Spotlight Health programming.
- 13. Spotlight Health embraces this mission by providing a non-partisan forum on health and medicine issues and policy to foster learning and encourage thoughtful dialogue. One of the goals of the Commonwealth Fund is to connect policymakers at the federal and state level to objective, evidence-based, and timely health policy research and analysis. By sponsoring policy scholars at Spotlight Health conference, the Commonwealth Fund is connecting the scholars to health systems leaders and innovators in both the public and private sector with the expectation that this will help inform their work and professional learning and that they will contribute their own policy contributions to Spotlight Health.

DEBORAH SUE MAYER, CHIEF COUNSEL AND STAFF DIRECTOR EMILY GERSHON, CHIEF CLERK

TELEPHONE: (202) 224-2981 FACSIMILE: (202) 224-7416 TDD: (202) 228-3752

United States Senate

SELECT COMMITTEE ON ETHICS

June 20, 2017

Elizabeth Wikler Office of Senator Al Franken United States Senate Washington, DC 20510

Dear Ms. Wikler:

This responds to your recent correspondence concerning an invitation you received to travel to Spotlight Health 2017, in Aspen, Colorado on June 22-25, 2017, sponsored by the Aspen Institute (Aspen). Aspen certified to the Select Committee on Ethics (the Committee) that it will pay the necessary expenses related to the travel and that it is neither a lobbyist, nor lobbying firm, nor an agent of a foreign principal, and it is not otherwise acting as a representative or agent of a foreign government. Aspen has also certified that it does not retain or employ a registered lobbyist or agent of a foreign principal, and that no registered lobbyist will accompany you at any point throughout your trip.

Based on information and materials available to the Committee, consistent with the guidance contained in this letter regarding extending your trip for a personal purpose, and assuming the actual travel and travel-related expenses conform to the information and materials you provided, it appears that the proposed payment or reimbursement of necessary expenses for this trip may be accepted under relevant Senate Rules and the Committee's Regulations and Guidelines for Privately-Sponsored Travel, so long as at the time of the payment or reimbursement, Aspen is neither a registered lobbyist nor lobbying firm under the Lobbying Disclosure Act of 1995, nor an agent of a foreign principal under the Foreign Agents Registration Act (and is not otherwise acting as a representative or agent of a foreign government), and provided the travel and all required documents are disclosed to the Secretary of the Senate in accordance with the provisions of Senate Rules 34 and 35.

Under Senate Rule 35, Senate staff must receive advance authorization signed by the Member or officer under whose direct supervision the individual works in order to accept

Based on the information you submitted, the Committee understands that, for a personal purpose, you intend to extend your trip in Aspen for approximately six hours before the beginning of the officially related events, and approximately two hours after the conclusion of the officially related events. You are personally responsible for any additional expenses incurred as a result of extending your trip.

² The term "necessary expenses" has a specific definition. See Select Committee on Ethics' Regulations and Guidelines for Privately-Sponsored Travel – Glossary of Terms at 8.

³ The term "any point throughout your trip" has a specific definition. See id. at 2.

payment or reimbursement for necessary expenses related to fact-finding travel. Further, such authorization and expenses must be disclosed to the Secretary of the Senate by filing the completed Employee Pre-Travel Authorization and the Employee Post-Travel Disclosure of Travel Expenses (Form RE-1 and Form RE-2), along with a copy of the Private Sponsor Travel Certification Form, and all relevant attachments (e.g., the private sponsor's invitation and itinerary) within 30 days of the conclusion of Privately-Sponsored Travel.⁴

Finally, Senate Rule 34 requires a reporting individual,⁵ on his or her Financial Disclosure Report, to make an annual disclosure of the receipt of payments or reimbursements under Senate Rule 35 from a private sponsor for officially-related travel expenses where, in the aggregate, travel expenses exceed \$390 from that sponsor during a calendar year. However, if a Member, officer, or employee properly reports the receipt of necessary expenses for such travel to the Secretary of the Senate within 30 days of the travel, as discussed above, the travel expenses need not be disclosed a second time on their Financial Disclosure Report.

I hope you find this information helpful. If you have any additional questions, please do not hesitate to contact the Committee.

Sincerely,

Deborah Sue Mayer

Chief Counsel and Staff Director

Deborah Sur Mayor

Enclosure: Travel Checklist

⁴ Trip extensions for any purpose do not extend this deadline.

A reporting individual is someone whose salary equals or exceeds 120% of the basic rate of pay for GS-15 (\$124,406 for CY 2017) or is a political fund designee and is required to file Financial Disclosure Reports.